

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom, et al. Art Unit : 3624
Serial No. : 10/077,182 Examiner : Sara M. Chandler
Filed : February 15, 2002 Conf. No. : 9522
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INTERVIEW SUMMARY

The undersigned representative thanks the Examiner for the courtesy of the telephonic interview held on February 22, 2010.

The substance of the interview included a discussion of the claims as pending in view of the decision of the Board of Patent Appeals and Interferences. The examiner agreed that the claims distinguished over the art currently of record and were proper under 35 U.S.C. 112. The examiner indicated that pending approval from a primary examiner, submission of a terminal disclaimer per the Board's decision, and subject to a final search that the case would be in condition for allowance.

Respectfully submitted.

Date: February 24, 2010

/Denis G. Maloney/
Denis G. Maloney
Reg. No. 29,670

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110
Telephone: (617) 542-5070
Facsimile: (877) 769-7945

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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: February 24, 2010